CRAMER ROUNDTABLE SERIES

Compliance, Ops, & Tech

March 19, 2025 | The Westin Nashville

CRAMER & associates

JackCramer.com

AGENDA*

8:00am	**Opening Remarks & Introductions**	**Jack Cramer**
8:15am	**Us Against the Acronyms** - Not Over Yet!? Reg BI & Cash Sweeps - Complex Products - Examination Updates	**Maureen Mattson –** Lincoln Investment
9:45am	**Artificial Intelligence** - Oversight & Vendor Management - Beyond Just a Chatbot - Client Communication	**Sid Yenamandra –** RegVerse **Laura Holt** – WealthTech Matchmaker
11:05am	**Case Study: CRM Implementation** - Selecting the Right Solution - Finding Your Champions - Driving Advisor Training & Adoption	**Stephanie Hook –** UW Credit Union
11:35am	**Ask Anyone Anything**	**Open Forum**
12:00pm	**Lunch**	
1:00pm	**The Business of Running a Team** - Bridging the Generational Gap - Work From Anywhere - Communication Oversight	**Chris Onzuka –** Bankoh Investment Services **Chris Slonecker –** First Horizon Advisors **Dan Caneva –** U.S. Bancorp Advisors
2:00pm	**Optimizing Your Tech Stack** - When to Evaluate & Upgrade - All-In-One vs Best-In-Class - In-House or Off-The-Shelf	**Travis Armstrong –** Oxford Financial Group **Rich Whalen –** Equity Services
3:10pm	**Insurance Updates and Upgrades** - 1035 Exchanges - AOE Usage & Changes - Utilizing Big Data for Ops Efficiencies	**Henry Nerida –** Nationwide **Troy Glover –** Oceanview **Brian Frey –** Prosperity Life
3:40pm	**Ask Anyone Anything**	**Open Forum**
4:00pm	**Closing Remarks**	**Jack Cramer**

***Times may shift based on group engagement.**